



COMPENSATION AND BENEFITS

Retirement Plan Financial Statement Preparation

Retirement plan financial statements are subject to specialized accounting and reporting regulations not typically within the expertise of HR and Benefits personnel or most accountants. For many plan sponsors, outsourcing the preparation of their retirement plan financial statements is a smart way to ensure that their financial statements comply with the reporting and disclosure requirements applicable to benefit plans.

A&M's Retirement Plan Financial Statement Preparation solution helps companies accurately prepare financial statements for their required annual filings, including 11-K specific financial statements for plans that hold public company employer stock in their investment portfolios. We work closely with plan committees and sponsors to understand plan reporting and incorporate best practices. We can also train teams to become specialists in retirement plan reporting.

Our comprehensive services include:

- Creating a trial balance using the annual trust statement
- Preparing necessary adjusting entries
- Preparing supporting schedules
- Drafting footnote disclosures and incorporating new regulations
- Completing disclosure checklists
- Reviewing the related Form 5500
- Training your personnel

What Are the Benefits of Outsourcing?

Managing retirement plans has become a complex task that can drain already-constrained resources from an origination's focus on its core business and accounting. Outsourcing allows plan sponsors to tap the expertise of external specialists, reduce costs, and gain the assurance that their plan is handled properly.



Time saving: Our Retirement Plan Financial Statement Preparation solution reduces time and effort on behalf of the plan sponsor.



Early identification and correction of errors: Our team can help discover operational errors prior to the auditors identifying them, and we can also help the plan sponsor correct any operational errors utilizing the various correction programs.



Increased confidence: Plan sponsors can be assured that financial statements and the Form 5500 are completed in accordance with regulations.



Fees can be covered by plan: In most cases, services can be paid out of plan assets instead of company funds.



What Makes Alvarez & Marsal Different?

We act as an independent, strategic partner with plan sponsors to prepare accurate financial statements by working side-by-side with plan auditors. Our focus and experience enable us to provide process-improvement suggestions and insight into best practices. You can count on A&M for the following qualities:



Operational Expertise

Our team is made up of former EBP auditors. We understand not only the technical requirements behind the audit requests, but also how the auditors would document the information, leading to efficiencies for your audit team.



Seamless Operations

We work hand-in-hand with the plan sponsors auditing team to get the task completed in an accurate and timely manner.



Plan Operations Point of View

We approach audits with a compliance mindset, digging down to unearth any plan operational errors that must be reported, such as delinquent contributions. Finding and correcting these errors early mitigates risk to the client.

For More Information:



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ABOUT ALVAREZ & MARSAL

Founded in 1983, Alvarez & Marsal is a leading global professional services firm. Renowned for its leadership, action, and results, Alvarez & Marsal provides advisory, business performance improvement, and turnaround management services, delivering practical solutions to address clients' unique challenges. With a worldwide network of experienced operators, world-class consultants, former regulators, and industry authorities, Alvarez & Marsal helps corporates, boards, private equity firms, law firms, and government agencies drive transformation, mitigate risk, and unlock value at every stage of growth.

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