



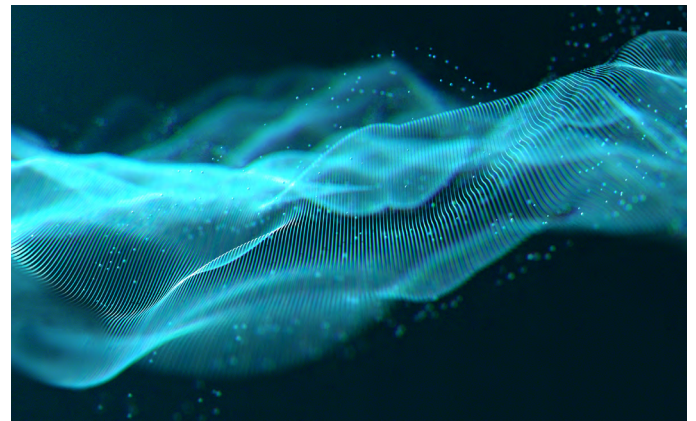
PRIVACY AND DATA COMPLIANCE SERVICES

## A&M AI GOVERNANCE SERVICES



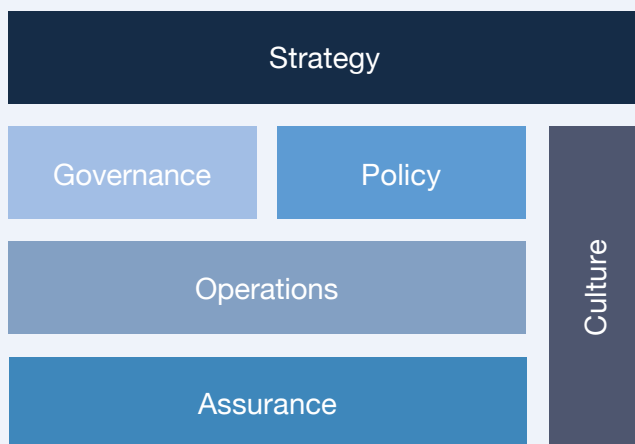
Our AI governance and data ethics services focus on helping organisations move from theory to practice

We recognise there is no one-size-fits-all approach and work in partnership with clients to find tailored solutions for ethical, trustworthy and compliant AI and data use aligned to organisation goals, operations, values, risk appetites and maturity.



The foundation for our support is the **A&M Enterprise AI Governance Stack** with services across its six core components.

### Overview of A&M's Enterprise AI Governance Stack

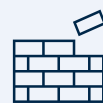


### A&M AI Governance Service Areas



#### AI Governance Navigator

*Accelerated project to explore, initiate or enhance AI governance activities*



#### Programme Support

*Designing, delivering and reviewing AI governance programmes and workstreams*



#### AI Governance Operations

*Developing, implementing and running AI governance processes*



#### AI Projects Governance

*Managing risks and oversight for specific AI systems, proofs of concept and initiatives*

## AI Governance Navigator

A&M's Navigator service helps organisations define and enhance their AI governance activities.

Designed for all maturity levels, we offer a workshop, to explore your AI governance needs or key challenges, and a six-week sprint, to accelerate AI governance programmes, help prepare for new rules or regulations and to address common pain points for organisations.

We customise all steps of the service to provide pragmatic and risk-based solutions to help organisations:

- Keep pace with global rules and business AI adoption
- Define and implement AI governance frameworks
- Align stakeholders on objectives, ownership and responsibilities
- Benchmark to peers, good practice and standards
- Move from high-level principles to meaningful practice
- Mature programmes, activities and oversight
- Responsibly enable company AI goals and business initiatives

### Explore



#### Format

- Discussion & maturity questions

#### Example topics

- Strategy & business cases
- Regulatory preparedness
- Ownership & operating models
- Policy, guardrails & controls
- AI systems assessment
- Culture change & training

#### Outputs

- Maturity overview & goals

### Workshop



#### Format

- Full or half-day workshop

#### Approach

- Gather & review information
- Agenda & structure design
- Stakeholder preparation
- Facilitated session
- Debrief

#### Outputs

- Observations, recommendations & priorities
- Sprint objective & plan

### Six Week Sprint



#### Format

- Working sessions, reviews & testing

#### Example topics

- Establish foundations
- Operationalise policies
- Programme readiness or enhancements review

#### Outputs

- Tailored work products
- Management report & roadmap



To find out more about the **AI Governance Navigator** options, contact:  
[rgrosvenor@alvarezandmarsal.com](mailto:rgrosvenor@alvarezandmarsal.com)



## Programme, Operations & Project Support

Tailored, pragmatic and risk-based support for enterprise AI governance activities



### AI Governance Programmes



- Strategy and business cases
- Regulatory preparedness and readiness assessments
- Programme and workstream design and delivery
- Policy and controls frameworks
- Target operating models and roles and responsibilities
- Culture, training and awareness
- Reporting and monitoring

### AI Governance Operations



- Responsible AI by design advisory
- Process design for risk management and AI system assessment
- AI governance checkpoint and triage workflow design
- AI use-case and risk inventories
- AI procurement and vendor risk management support
- AI governance solutions selection and configuration support

### AI Projects Governance



- AI transformation and initiatives governance design
- Governance layers for generative AI and foundational models
- Complex and high-risk AI system assessment support
- Governance reviews for AI projects
- AI initiatives data protection impact assessments

# A&M AI Governance Services (continued)

Our advisory and operational services track the latest:



## Global AI regulatory developments

- EU AI Act and Liability Directive
- UK AI Regulation Whitepaper
- US AI Bill of Rights



## Regulator and industry principles, frameworks and standards:

- OECD Framework for the classification of AI systems
- NIST AI Risk Management Framework
- ISO/IEC 23894 on Artificial Intelligence and Risk Management



## Good practice assessment templates and toolkits:

- EU Assessment List on Trustworthy Artificial Intelligence (ALTAI)
- UK ICO AI and Data Protection Risk Toolkit
- Canada Algorithmic Impact Assessment Tool

## Strategic Advice



### We help organisations navigate:

- Global laws, regulation and policy
- Standards, frameworks and industry good practice
- Common decision points and challenges
- Balancing commercial goals, market positioning, values, risk and compliance needs
- AI assurance and PETs tools and vendors

## The A&M Difference



- Tailored, practical and proportionate solutions
- Enterprise fluent with experience working with cross-functional groups and teams
- Governance, implementation and operations expertise
- Technology, framework and tool agnostic with network of experts and specialist vendors

## CERTIFICATIONS AND AWARDS



## KEY CONTACTS



### Rob Grosvenor

Managing Director

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## ABOUT ALVAREZ & MARSAL

Companies, investors and government entities around the world turn to Alvarez & Marsal (A&M) for leadership, action and results. Privately held since its founding in 1983, A&M is a leading global professional services firm that provides advisory, business performance improvement and turnaround management services. When conventional approaches are not enough to create transformation and drive change, clients seek our deep expertise and ability to deliver practical solutions to their unique problems.

With over 8,000 people providing services across six continents, we deliver tangible results for corporates, boards, private equity firms, law firms and government agencies facing complex challenges. Our senior leaders, and their teams, leverage A&M's restructuring heritage to help companies act decisively, catapult growth and accelerate results. We are experienced operators, world-class consultants, former regulators and industry authorities with a shared commitment to telling clients what's really needed for turning change into a strategic business asset, managing risk and unlocking value at every stage of growth.

To learn more, visit: [AlvarezandMarsal.com](https://www.alvarezandmarsal.com)

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