



FINANCIAL SERVICES INDUSTRY

BOARD EFFECTIVENESS

Engaging with Board directors to review the Board's effectiveness against regulatory requirements and leading governance practice

The expectation of Boards and board directors is increasing – from regulators to activist investors, and the nature of governance is evolving. Boards are not always prepared to address these headwinds, which can lead to governance failings and associated costs.

What A&M will bring



Governance
Excellence Programme
(Board & Executive)



Board
Effectiveness
Review



Governance Effectiveness
– Parent (International)
& Subsidiary (UK)



Skilled Person
Review



Regulatory Expertise
& Advice

Why A&M?

With over 7,500 professionals in 65+ offices globally, A&M provides seamless, quality, cross-border services. As the largest global transaction advisory and tax practice with no audit based conflicts outside the Big Four, the A&M difference lies in our senior led teams and a flexible and tailored hands-on approach. We build local relationships that can deploy global resources as needed for any M&A transaction.



Key Metrics



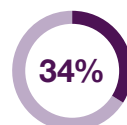
increase in corporates at risk
of being targeted by an activist
investor in 12 months



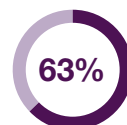
increase in the number of s166
orders issued by the FCA,
costing firms £39m



boards understand ESG risks



boards fully comprehend their
companies' strategies



boards exclude culture from
formal risk considerations, or
fail to routinely assess the risks
associated with corporate cultures

Outcome

A&M's Board Effectiveness Diagnostic will leave you with:



1. Governance Effectiveness Review

Providing an independent assessment of Board governance against regulatory requirements and governance leading practice.



2. Governance Recommendation Report

Providing actionable recommendations to address identified governance gaps and embed governance framework.



3. Board Action Plan

Supporting the Board (and Executive) to take action on recommendations and engage effectively with regulators.

KEY CONTACTS



Paul Sharma

Managing Director
EMEA Lead, Risk & Regulation
U.K.

psharma@alvarezandmarsal.com



Nneka Orji

Senior Director
Financial Services Industry
U.K.

norji@alvarezandmarsal.com

ABOUT ALVAREZ & MARSAL

Companies, investors and government entities around the world turn to Alvarez & Marsal (A&M) for leadership, action and results. Privately held since its founding in 1983, A&M is a leading global professional services firm that provides advisory, business performance improvement and turnaround management services. When conventional approaches are not enough to create transformation and drive change, clients seek our deep expertise and ability to deliver practical solutions to their unique problems.

With over 7,500 people across four continents, we deliver tangible results for corporates, boards, private equity firms, law firms and government agencies facing complex challenges. Our senior leaders, and their teams, leverage A&M's restructuring heritage to help companies act decisively, catapult growth and accelerate results. We are experienced operators, world-class consultants, former regulators and industry authorities with a shared commitment to telling clients what's really needed for turning change into a strategic business asset, managing risk and unlocking value at every stage of growth.

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