



# FINANCIAL SERVICES LITIGATION AND INVESTIGATIONS

The fallout from the economic crisis has led to severe business and legal challenges for financial services companies. Efforts to determine the reasons for the market collapse have launched a wave of lawsuits and government investigations, and, as a result, financial institutions are facing unprecedented scrutiny from both internal and external audiences.

Alvarez & Marsal (A&M), a leading independent global professional services firm, brings deep expertise in working with financial services companies to navigate complex issues related to current economic challenges. Our professionals assist clients in investigating and testifying in financial litigation and fraud matters, and in the evaluation of complex finance and accounting matters in times of crisis.

## THE A&M ADVANTAGE

Drawing from a broad and deep talent pool, we assemble the right team with the appropriate experience and skills to respond to each individual client matter. Our team of seasoned professionals focuses on producing results, driving change and affecting outcomes.

Our experts and forensic accountants have significant experience in the investigation and quantification of complex business, financial reporting and regulatory issues at all points along the financial services value chain. We have worked with banks, regulators, broker / dealers, servicers, investors, investment advisers, hedge funds, investment banks, private equity groups, insurance companies and other financial services companies caught in the crosshairs of litigation and government enforcement.

We work quickly to deliver accurate and comprehensive financial information to meet critical deadlines. As a privately-held professional services firm, we are free from audit-based conflicts associated with large accounting firms.

## OUR SERVICES

A&M provides assistance on litigation and arbitration, financial investigations, restatements and forensic technology matters. Our team is adept at performing in-depth analysis of complex financial transactions; preparing comprehensive reports; and providing compelling expert testimony before regulators, courts and tribunals. A&M brings a proven track record and reputation for providing exceptional services to financial services companies, including:

- Disputes – Testifying and Consulting Experts
- Investigations
- Risk Management and Corporate Governance
- Regulatory Compliance and Enforcement
- Troubled Bank Remediation, Receiverships and Monitoring
- Real Estate Valuation and Loss Mitigation
- Anti-Money Laundering
- Technology Consulting



## ABOUT ALVAREZ & MARSAL GLOBAL FORENSIC AND DISPUTE SERVICES

Alvarez & Marsal (A&M) is a global professional services firm specializing in turnaround and interim management, performance improvement and business advisory services. A&M delivers specialist operational, consulting and industry expertise to management and investors seeking to accelerate performance, overcome challenges and maximize value across the corporate and investment lifecycles. Founded in 1983, the firm is known for its distinctive restructuring heritage, hands-on approach and relentless focus on execution and results.

A&M's global forensic and dispute services practice has set a new standard for bringing a practical, results-oriented approach to solving problems related to business investigations, complex disputes and regulatory interventions. From the boardroom to the courtroom, the firm delivers a wide array of solutions to contentious situations by drawing on the deep skills, diverse disciplines and experiences of its professionals who offer a unique ability to articulate complex findings in a clear and meaningful manner. To learn more, visit [www.alvarezandmarsal.com](http://www.alvarezandmarsal.com).

\* Note: Alvarez & Marsal employs CPAs but is not a licensed CPA firm.